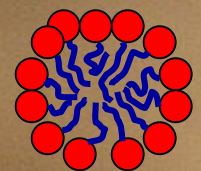


# Surfactants



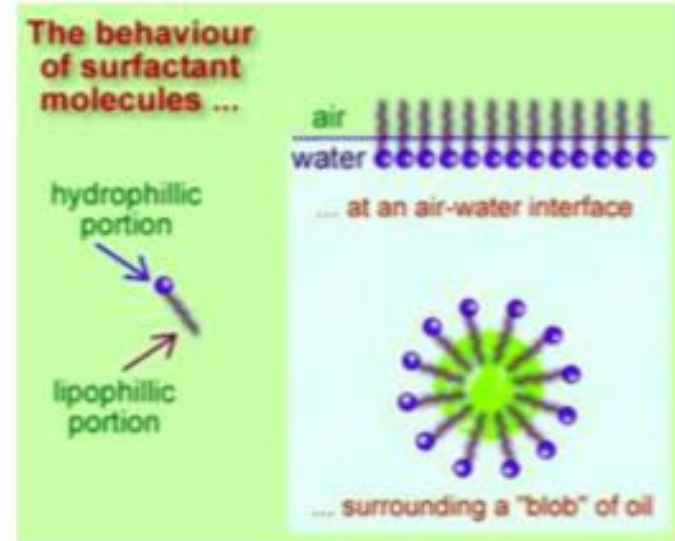
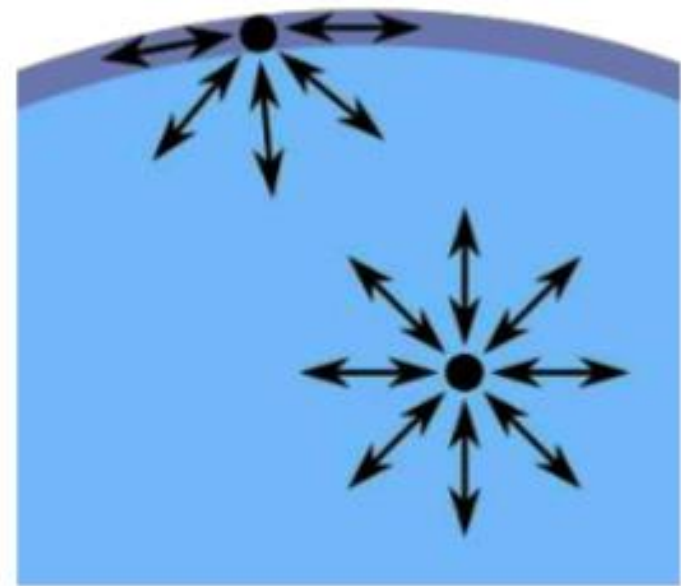
# Surfactants – Surface Active Agents

## Definition

### ➤ Surface tension:

The molecules at the surface do not have other molecules on all sides of them and therefore are pulled inwards. This creates some internal pressure and forces liquid surfaces to contract to the minimal area.

➤ Surfactants: are substances that absorb to surfaces or interfaces, causing a marked decrease in the surface tension.



# Surfactants

Their importance in pharmaceutical technology

promotion of wetting, dissolution and dispersion

promotion of absorption,  
(increase the bioavailability)

development of new drug carriers

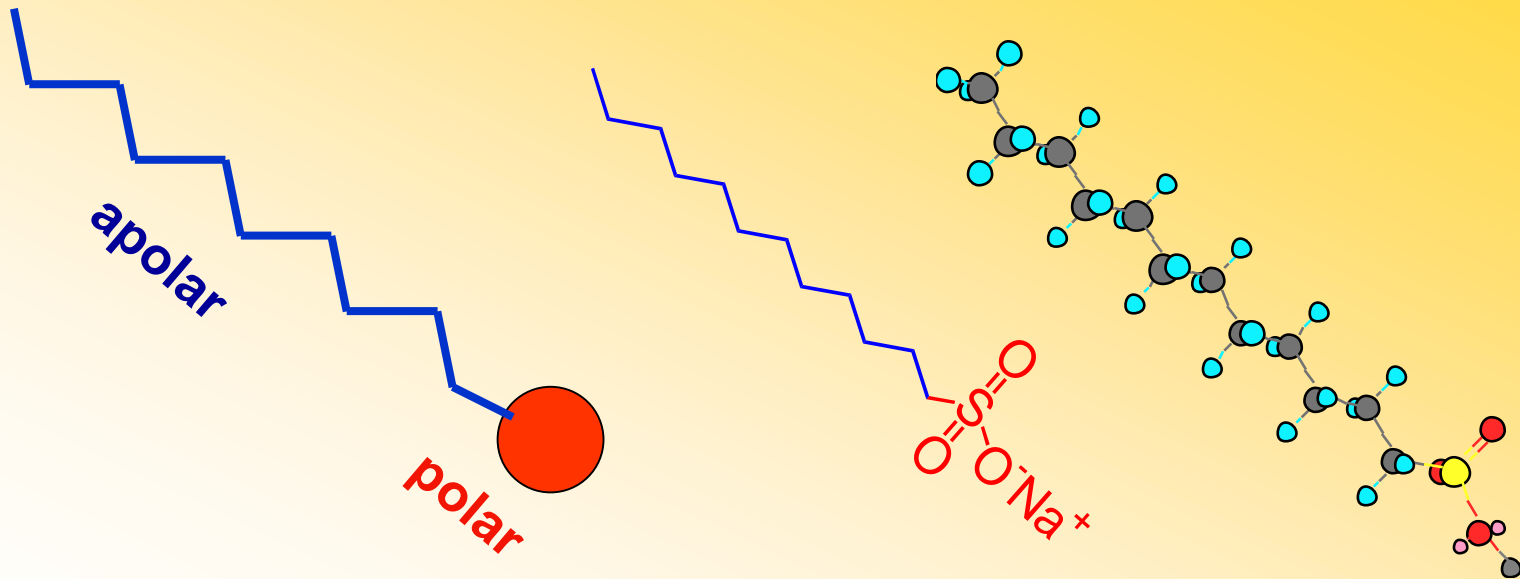
# Surfactants

## New Drug Delivery Systems

<b>Disperse systems</b>	<b>Emulsions</b>  simple or complex	<b>Microemulsions</b>	<b>Vesicles</b>  micelles liposomes niosomes
<b>Application</b>	Controlled drug delivery systems  elimination of incompatibility	nutrition	Controlled drug delivery systems  targetted drug intake

# Surfactants

## Molecular structures of emulgents

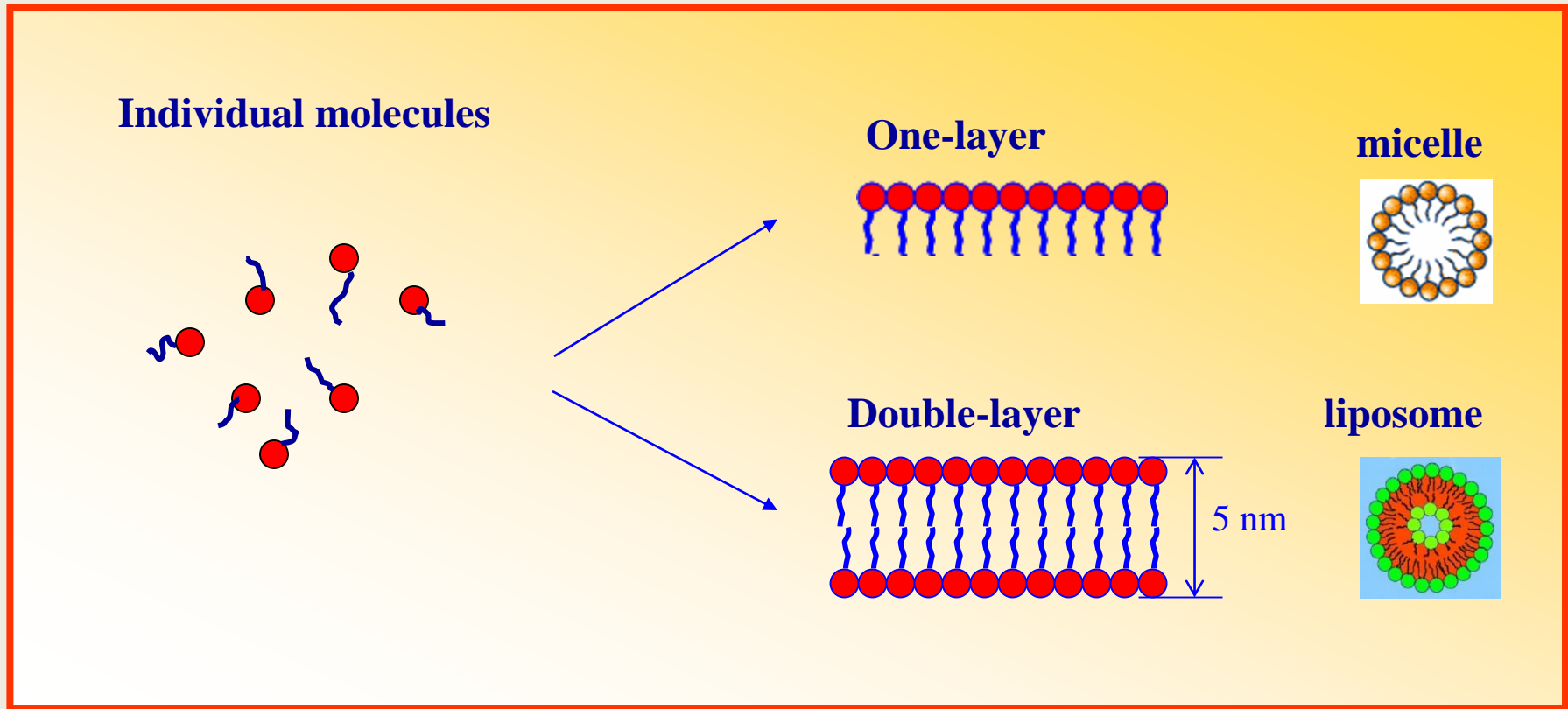


Sodium-dodecil-sulphate



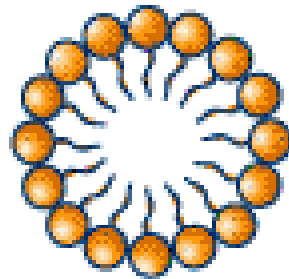
# Surfactants

## Spheric structures of surfactants

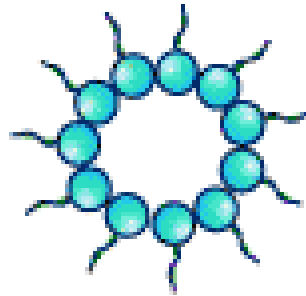


# Surfactants

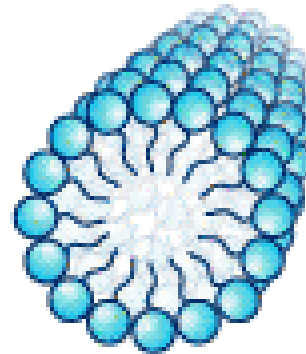
Structures, what can be formed by surfactants



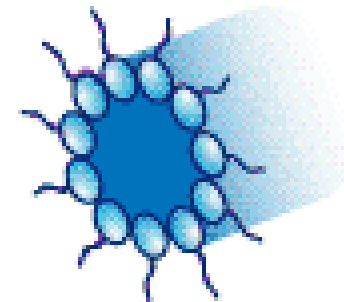
Micelle



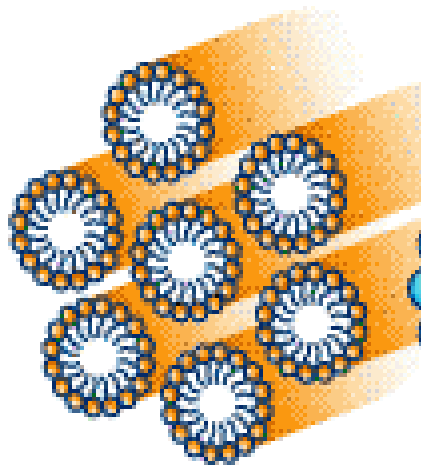
Inverse Micelle



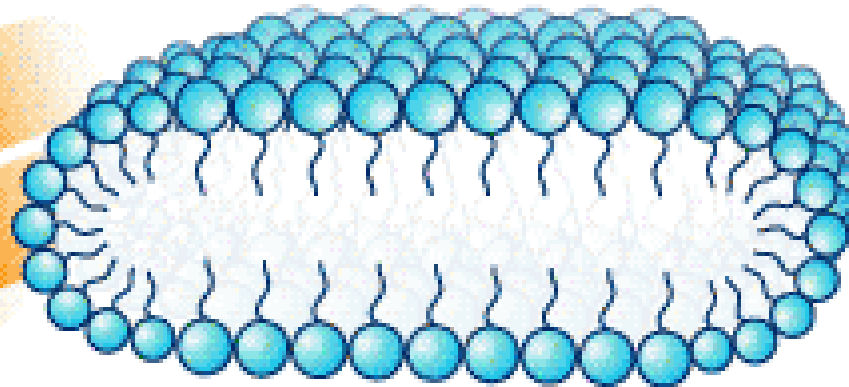
Prolate Micelle



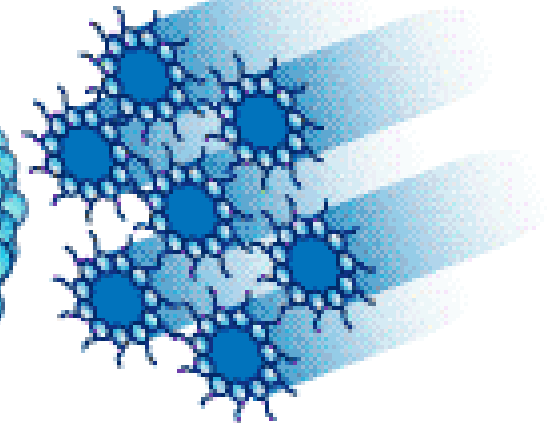
Inverse Prolate Micelle



Hexagonal phase  
Normal



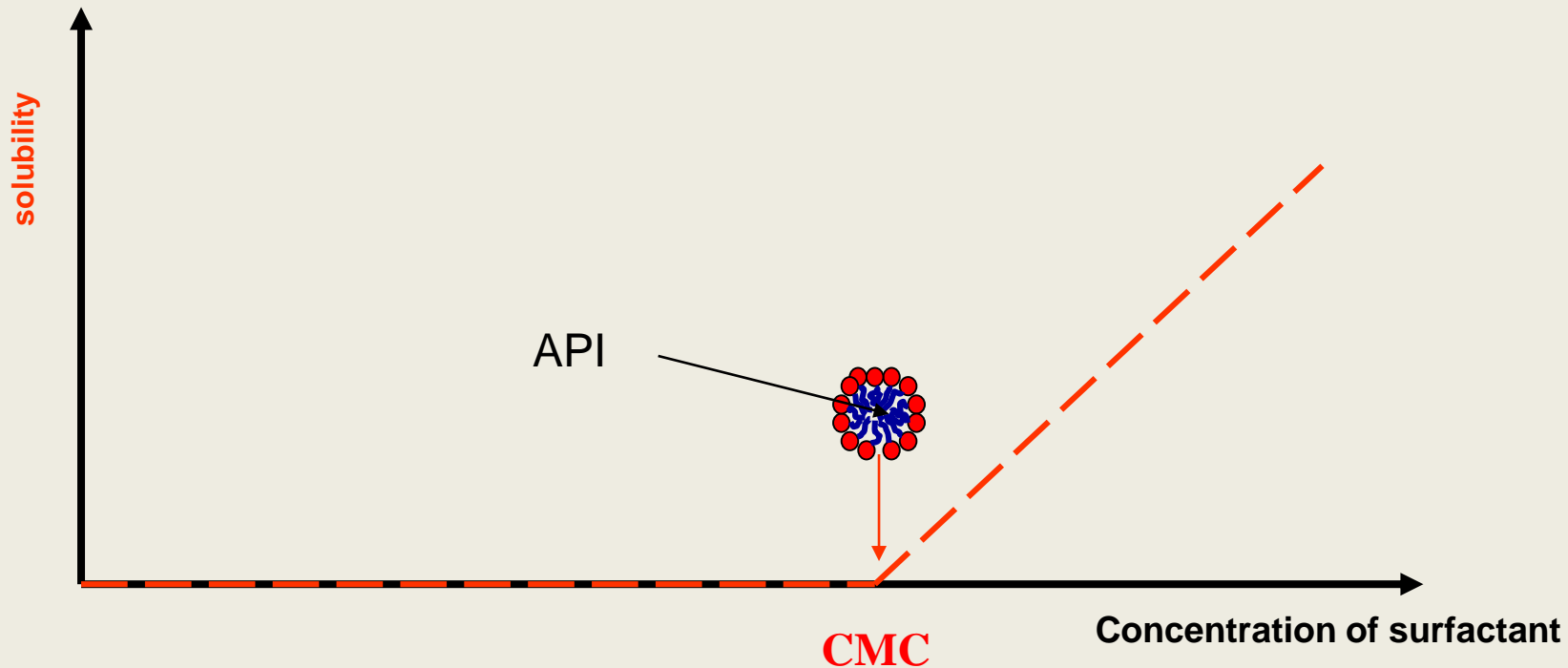
Oblate Micelle  
bilayered fragments



Hexagonal phase  
Inverse

# Surfactants

solubilization



The solubility (and so the bioavailability) of poorly soluble APIs can be increased by the application of the proper amount of surfactant to form micelles.

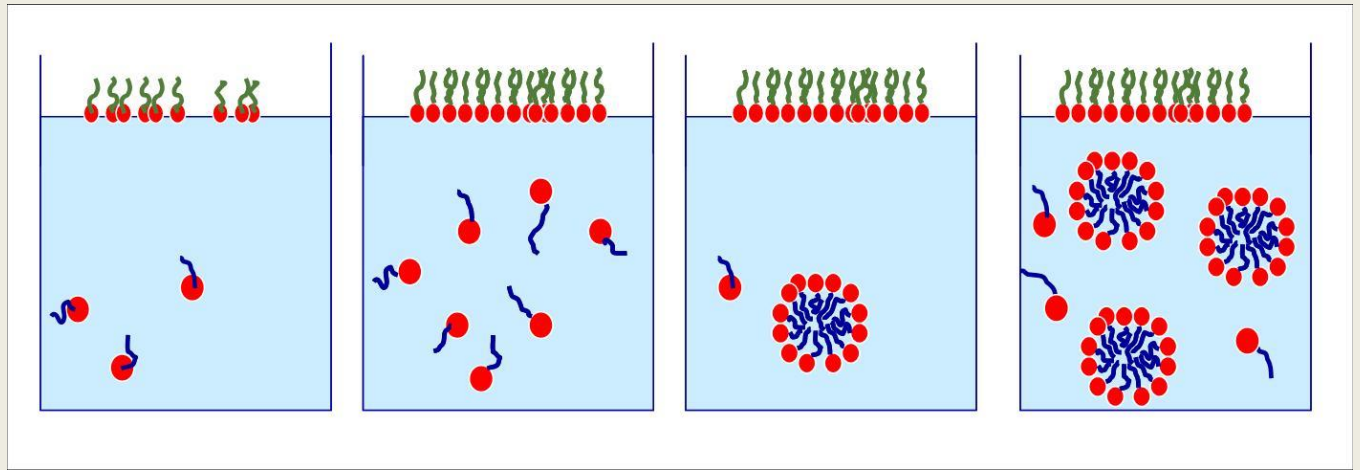
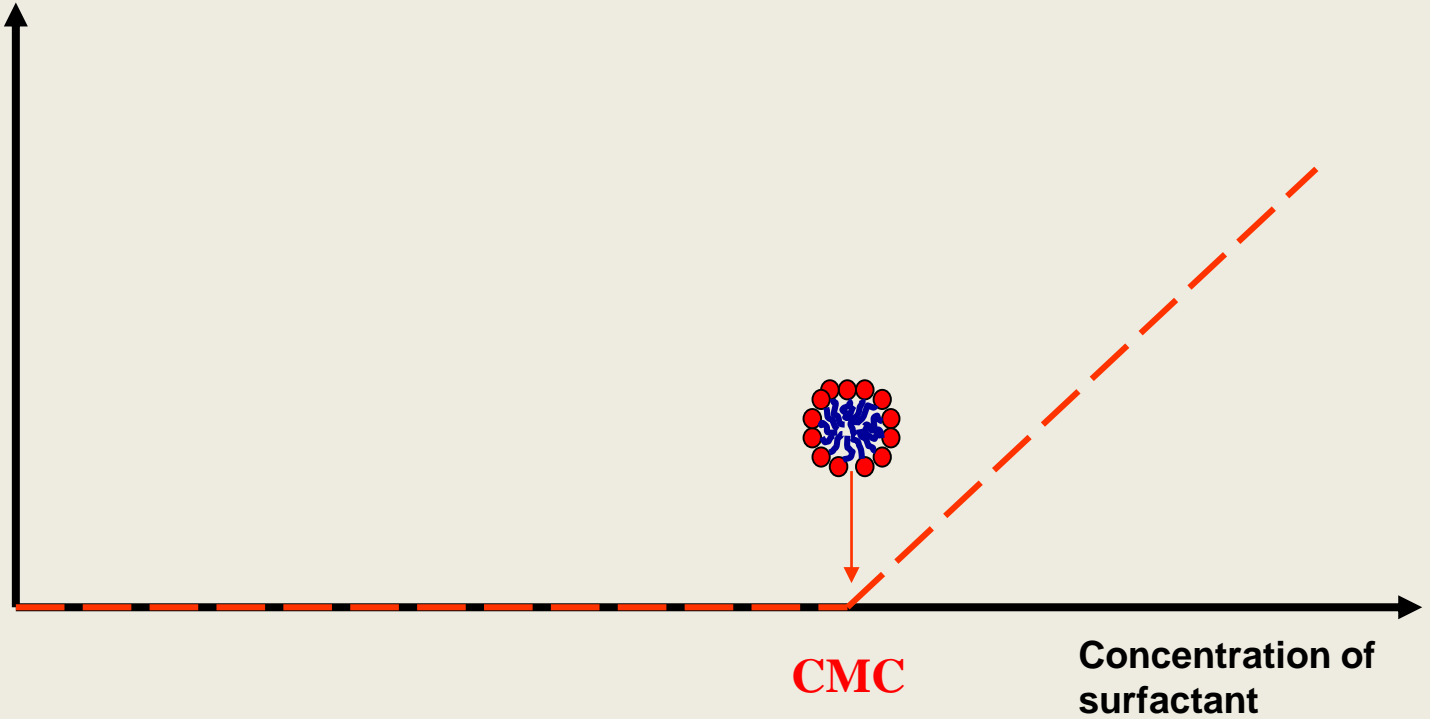
(Those systems, where the diameter of the micelles is not more than the size of the molecules from what are built up the micelles, are called **solubilized solution**.)



# Surfactants

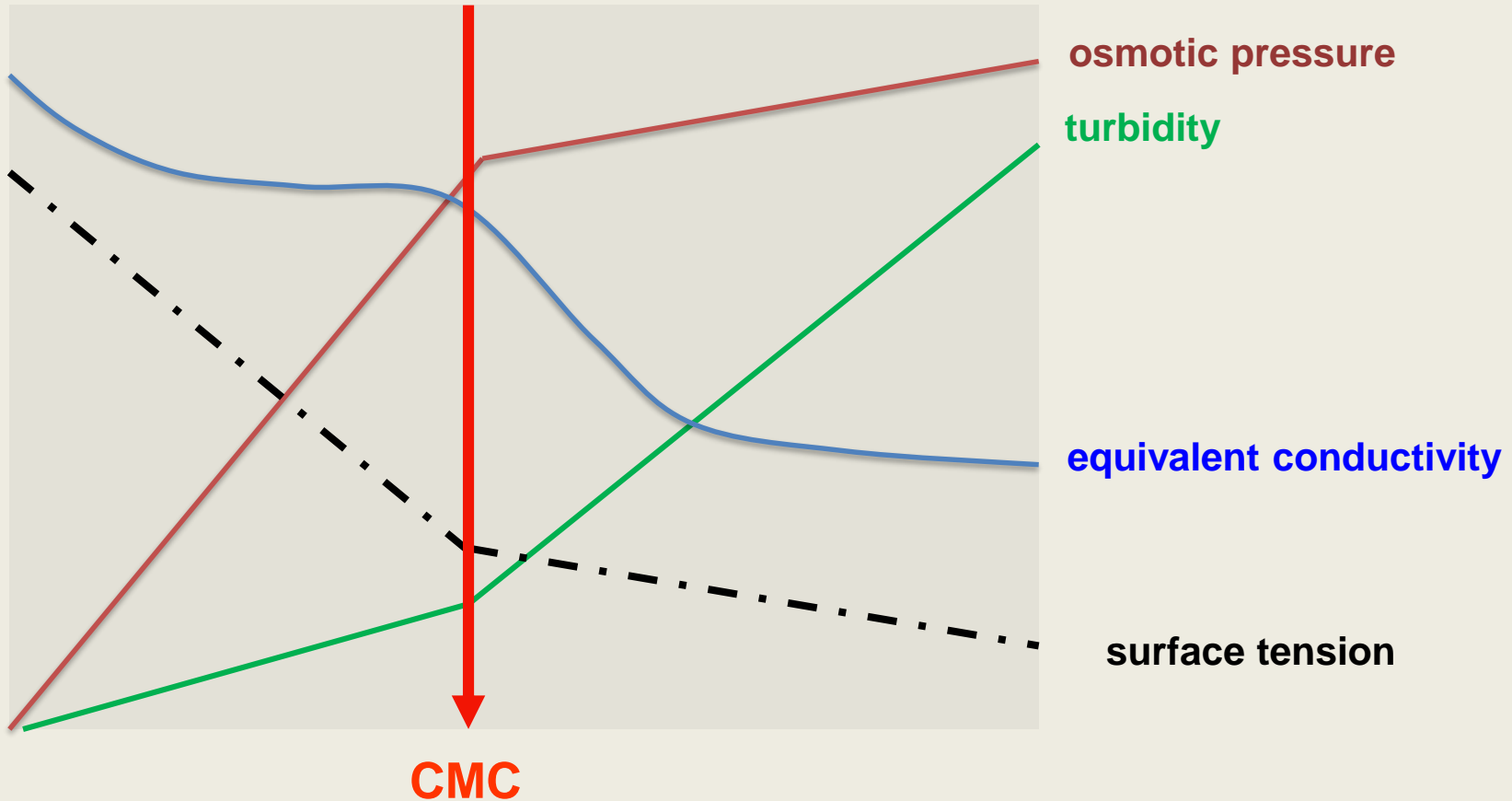
Critical micelle concentration

Micelle concentration



# Surfactants

## Determination of critical micelle concentration



# Surfactants' classification

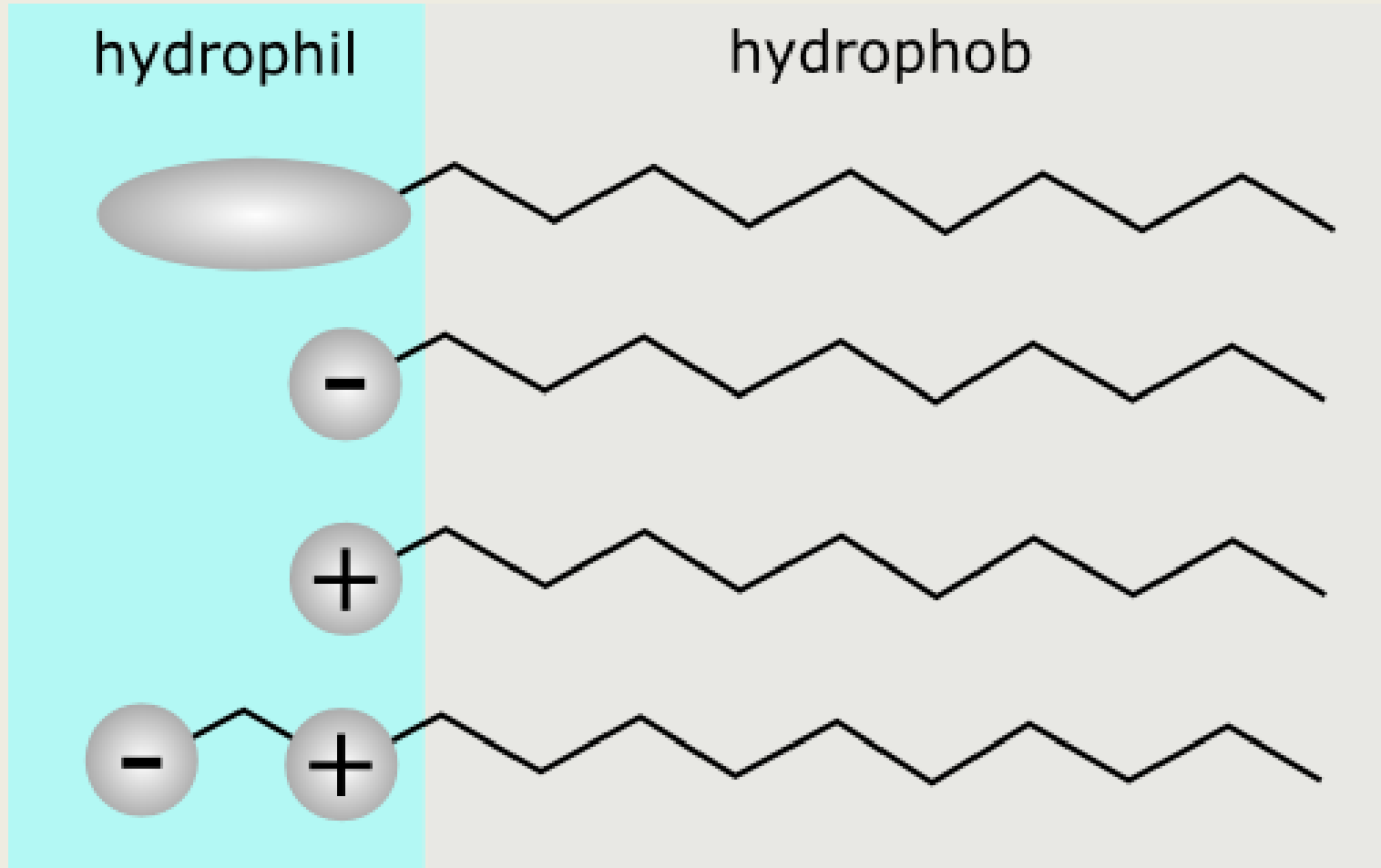
according to their origin

- natural
- synthetic

according to their structure

- non-ionic
- ionic
  - cationic
  - anionic
  - amphoteric

# Surfactants' classification according to the structure



# Surfactants

## Surfactants with natural origin

### Vegetable origin, carbohydrate polymer derivatives:

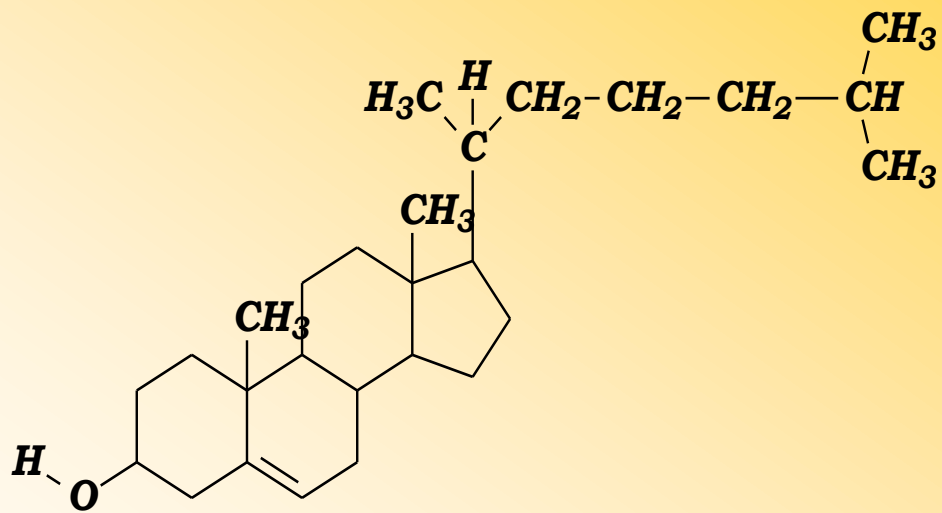
Acacia, tragacantha, agar-agar, pectin

### proteins:

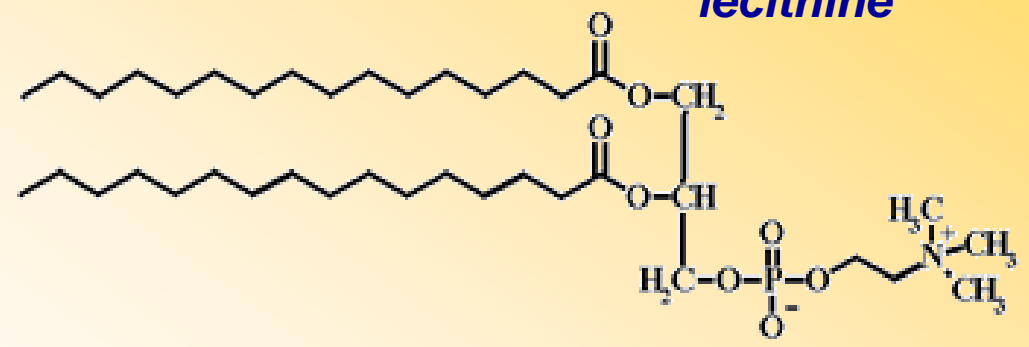
gelatin, casein, o / w emulsion

### high molecular weight alcohols:

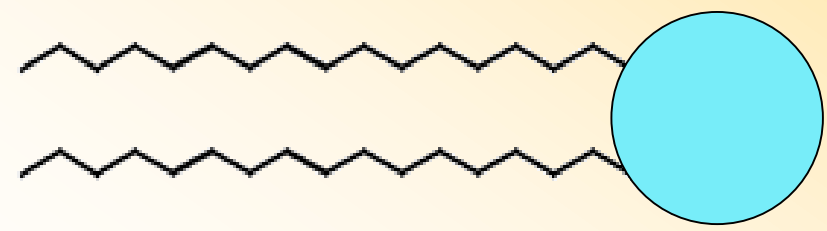
stearyl alcohol, cetyl alcohol, cholesterol



*cholesterol*



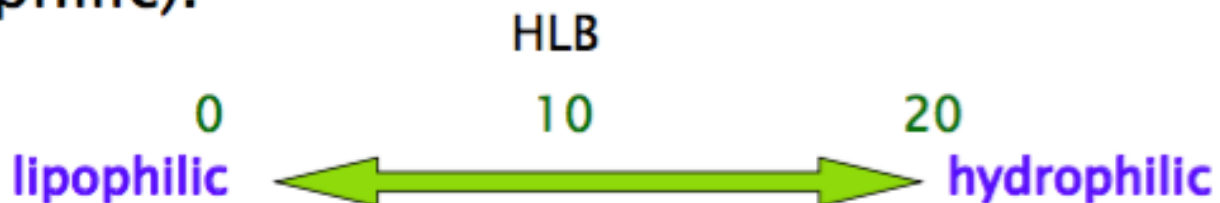
*lecithine*





## Hydrophile–lipophile balance (HLB)

- ▶ Hydrophile–lipophile balance: surfactants contain both hydrophilic groups and lipophilic groups with one or the other being more predominant, the hydrophile–lipophile balance (HLB) number is used as a measure of the ratio of these groups. It is a value between 0–40 defining the affinity of a surfactant for water or oil. HLB value of nonionic surfactants ranges from 0–20. HLB numbers  $>10$  have an affinity for water (hydrophilic) and number  $<10$  have an affinity of oil (lipophilic).



# Calculation of HLB value by Griffin's method (1949)

$$\text{HLB} = \frac{M_h}{M} \times 20$$

- $M_h$**  – molecular mass inside the molecule of the hydrophylic part
- $M$**  – molecular mass of the total molecule

# Surfactants

## Calculation of HLB

Emulsifier parts

$$HLB = \frac{A * HLB_{\alpha} + (100 - A) * HLB_{\beta}}{100}$$

$HLB_{\alpha}$ ,  $HLB_{\beta}$  HLB value of emulsifier  $\alpha$  and emulsifier  $\beta$   
 $A$  the ratio of emulsifier  $\alpha$

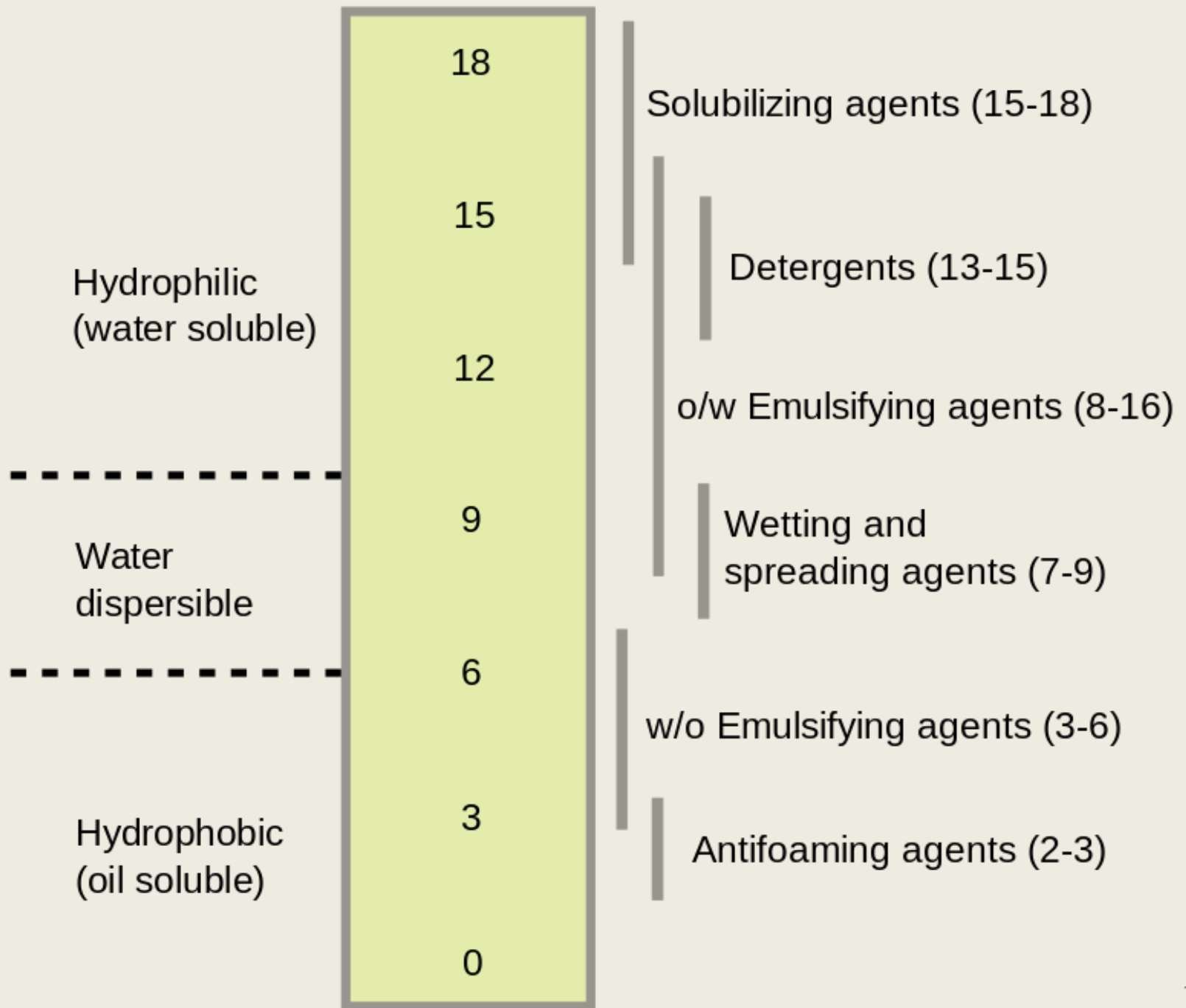
# Surfactants

It is an arbitrary scale between 0 and 20 which expresses numerically the size and **strength of the polar portion relative to the non-polar portion of the molecule.**

Although originally applied to non-ionic surfactants, its use has now been extended to ionic surfactants (HLB for ionic surfactants are much higher, up to 50, based on the ionization properties).

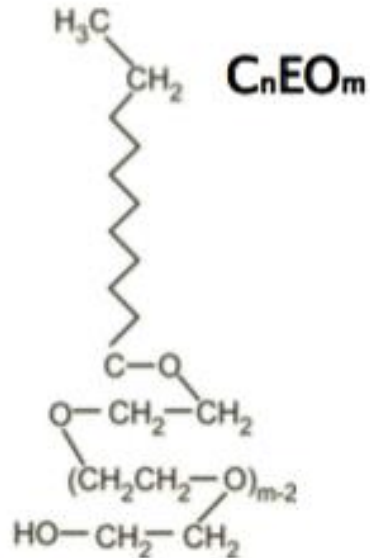
<b>HLB</b>	<b>application</b>
<b>1-3,5</b>	<b>anti-foaming agents</b>
<b>3,5-8</b>	<b>w/o emulgents</b>
<b>7-9</b>	<b>wetting agents</b>
<b>8-16</b>	<b>o/w emulsifiers</b>
<b>13-16</b>	<b>detergents</b>
<b>15-40</b>	<b>solubilizing agents</b>

**Application of surfactants**

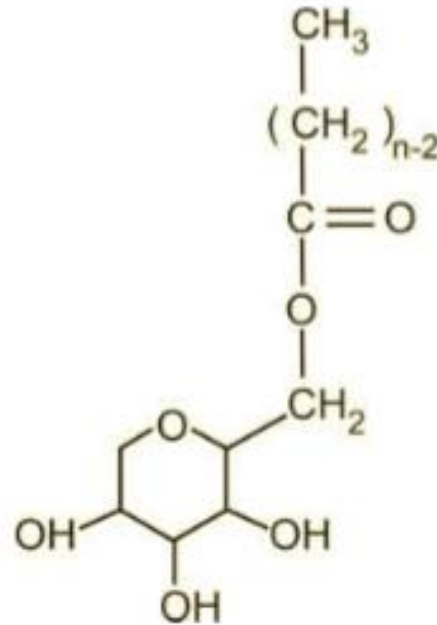


# 1. Nonionic surfactants

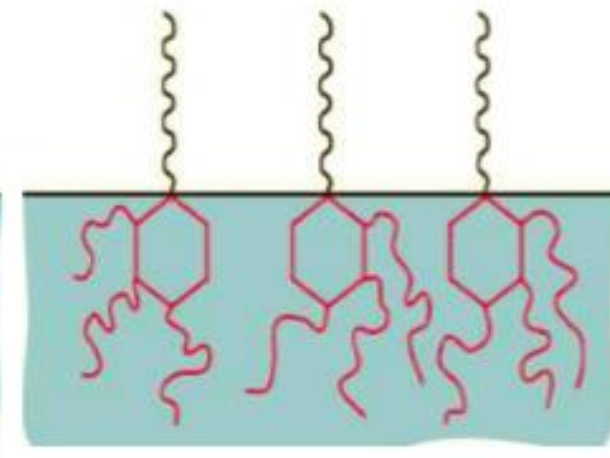
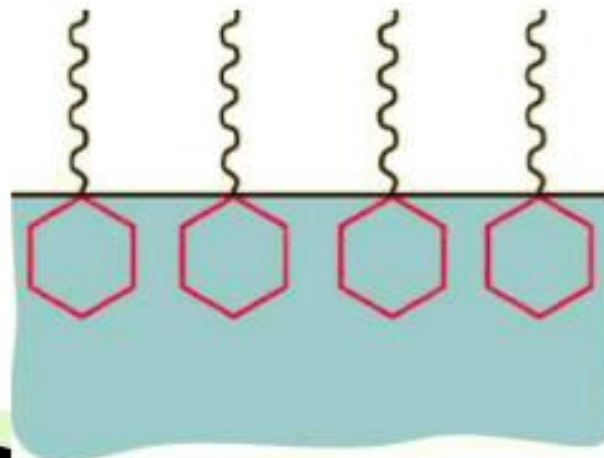
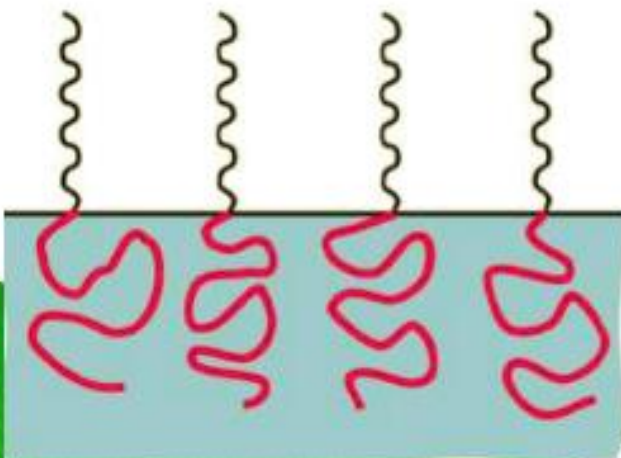
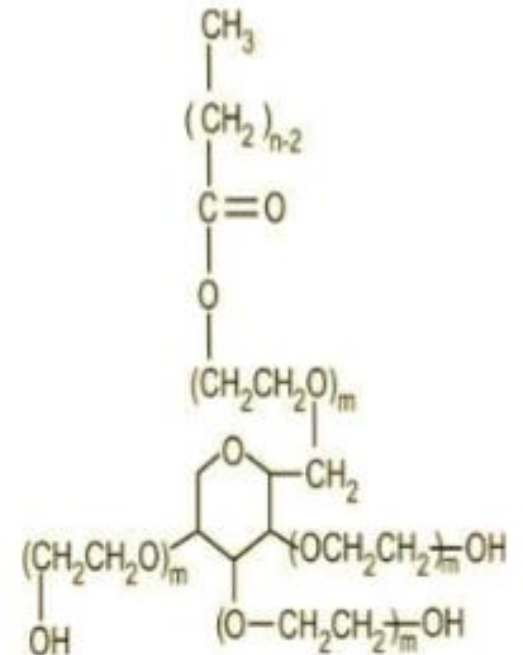
## Alkylpolyoxyethylenes



## Spans



## Tweens





# Non-ionic surfactants

## Brij

### Fatty-acid ethers of polyethyleneglycols

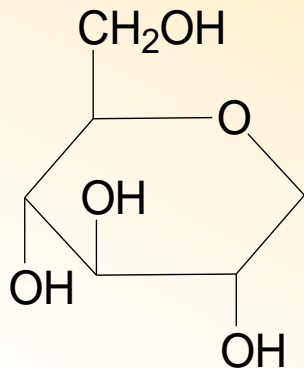
<b>Brij</b>	<b>composition</b>	<b>HLB</b>
<b>30</b>	PEG (4) lauryl ether	<b>9,7</b>
<b>35</b>	PEG (23) lauryl ether	<b>16,9</b>
<b>52</b>	PEG (2)cethyl ether	<b>5,3</b>
<b>58</b>	PEG (20)cethyl ether	<b>15,7</b>
<b>72</b>	PEG (2) stearyl ether	<b>4,9</b>
<b>78</b>	PEG (20)cethyl ether	<b>15,3</b>

# Non-ionic surfactants

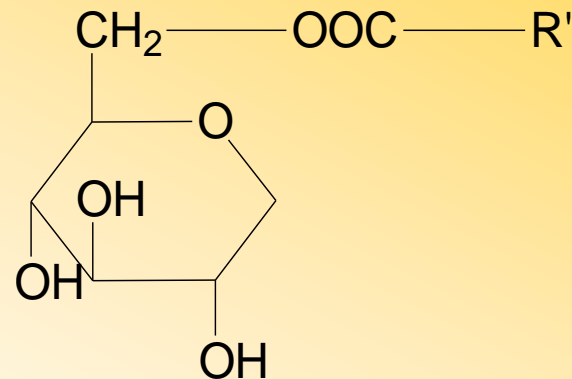
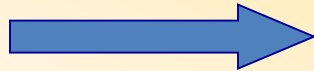
## Span

Fatty acid aester of sorbitans

The hydrophilicity of the Spans can be increased by hydrophilic groups ( $-\text{OH}$  or  $-\text{CH}_2-\text{O}-\text{CH}_2-\text{O}-$ ). (These groups can bound water molecules)



**1,5- sorbitan**



# Non-ionic surfactants

<b>Span</b>	<b>Chemical name</b>	<b>HLB</b>
20	sorbitan monolaurate	8,6
40	sorbitan monopalmitate	6,7
60	sorbitan monostearate	4,7
65	sorbitan tristearate	2,1
80	sorbitan monooleate	4,3
85	sorbitan trioleate	1,8

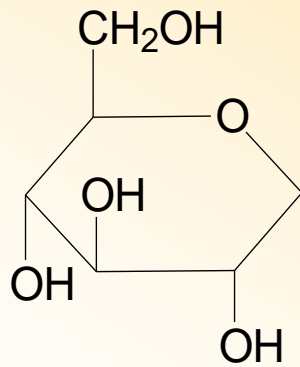
# Non-ionic surfactants

## Tween

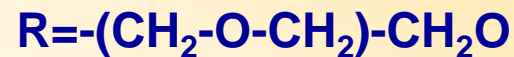
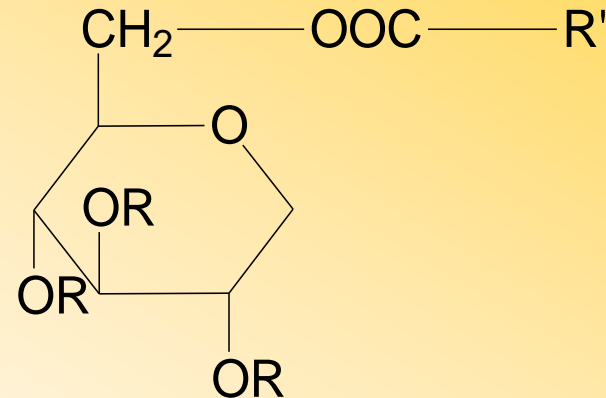
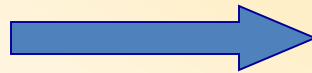
Fatty acid esters and polyoxethylen ethers of sorbitan

### PEG derivatives of Spans

(the combination of Spans with Tweens can increase the emulsifying effect.)



1,5- sorbitan



# Non-ionic surfactants

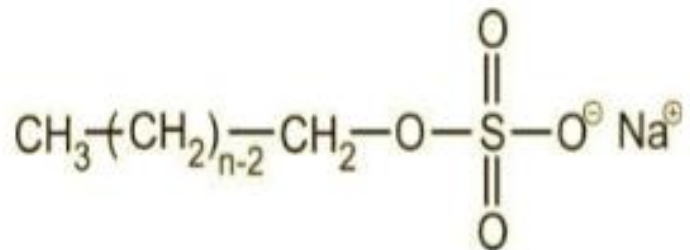
<b>Tween</b>	<b>chemical name</b>	<b>HLB</b>
<b>20</b>	PEG(20) sorbitan monolaurate	<b>16,7</b>
<b>40</b>	PEG(20)sorbitan monopalmitate	<b>15,6</b>
<b>60</b>	PEG(20)sorbitan monostearate	<b>14,9</b>
<b>80</b>	PEG(20)sorbitan monolaurate	<b>15,0</b>
<b>85</b>	PEG(20)sorbitan trioleate	<b>11,0</b>

✓ **Spans** are sorbitan fatty acid esters having **low** HLB values ranging from 1.8 to 8.6.

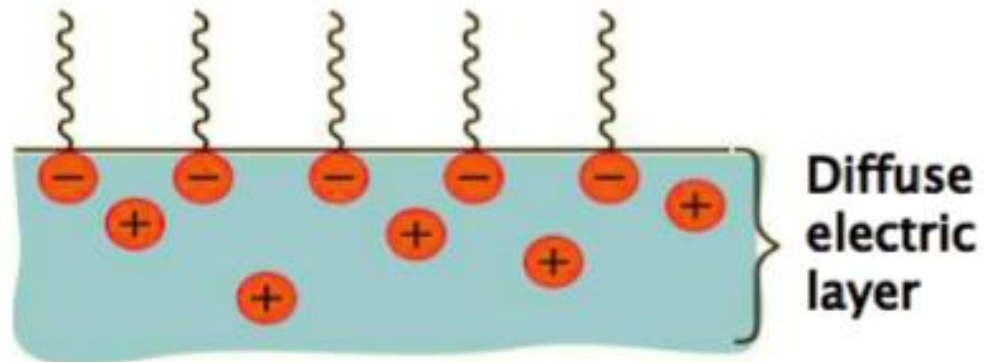
✓ **Tweens** are polyoxyethylene derivatives of spans. So, they are more hydrophilic having **higher** HLB values ranging from 9.6 to 16.7.

# 2. Ionic surfactants

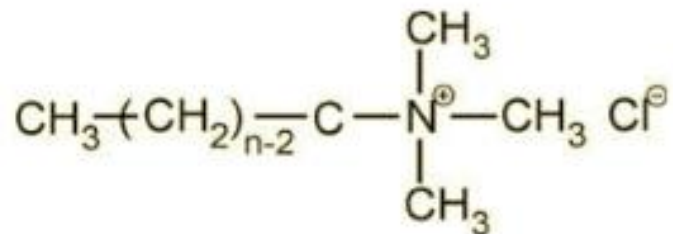
## (a) Anionic



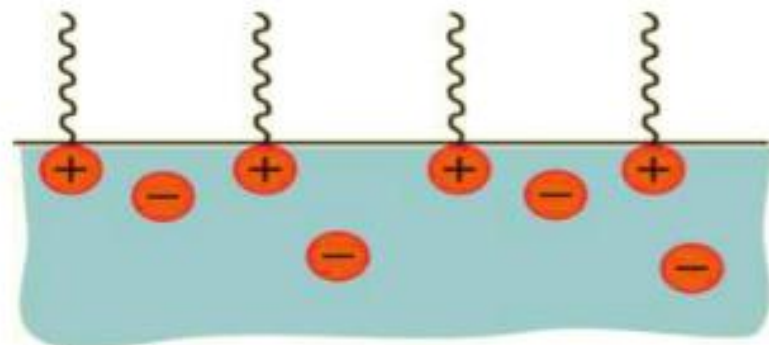
sodium dodecyl sulfate



## (b) Cationic



dodecyl trimethyl ammonium chloride





# Ionic surfactants

## anionic

- alkali metal salts of fatty acids (soaps)
- salts of sulfuric acid esters, sodium-lauryl-sulphate, sulfonates



sodium-lauryl-sulphate

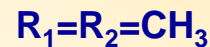
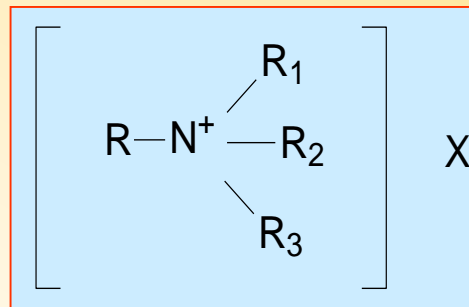
# Ionic surfactants

## cationic

In these molecules, the Nitrogen or nitrogen containing molecules have a huge importance.

The four hydrogen of the ammonium ion can be substituted by alkyl or aryl groups (radicals). These structures are called **quaternary ammonium-basis** whom salts are the quaterner ammonium-salts or invert soaps.

Their structure:



# Ionic surfactants

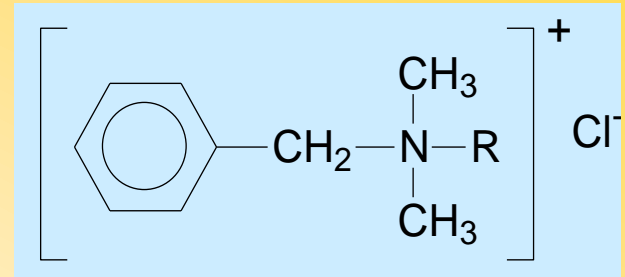
## cationic

- *cetavlon: -alkyl trimethyl-ammonium-salts*
- *sapamin: trimethyl-acetylamidoalkyl-ammonium -salts*
- *zephrol : -alkyl dimethyl-benzyl-ammonium –salts*
- *sterogenol : the nitrogen is in aromatic ring  
and to this nitrogen connects a long carbon chain too  
(Nitrogenol).*

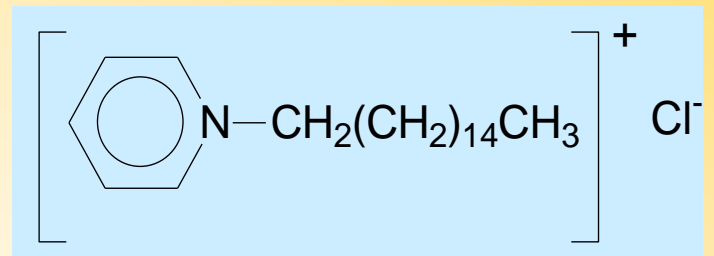
# Ionic surfactants

**cationic**

***Benzalkonium chloratum (Zephirol),***



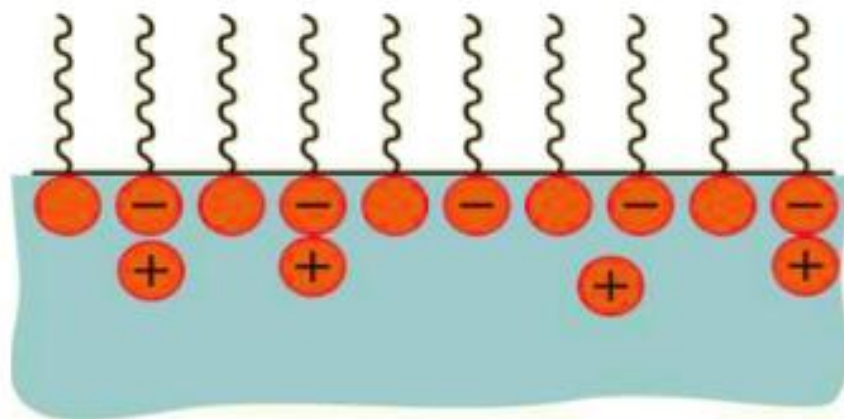
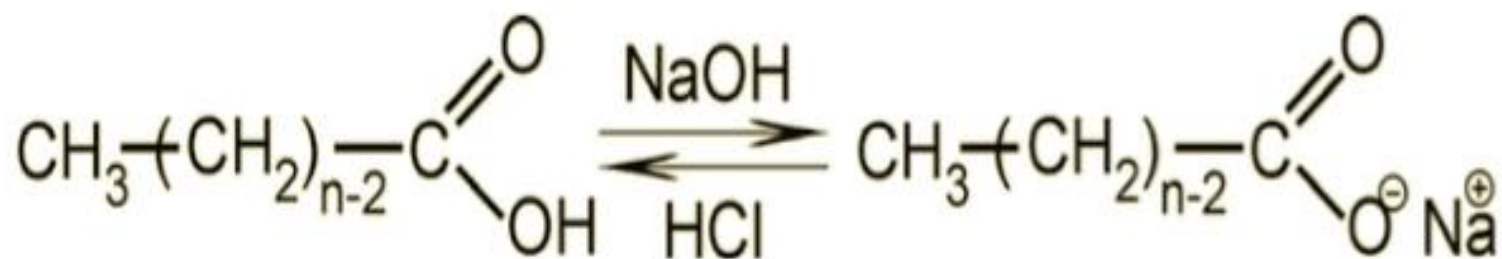
***Cetylpyridinium chloratum***



***The ionic surfactants can only be applied for external use.***

# 3. Amphoteric surfactants

(a) Natural soaps (alkylcarboxylates), Lipids



# Amphoteric surfactants

The amphoteric surfactants may be anionic or cationic according to the pH of the medium.

low pH                      →                      cationic

high pH                     →                     anionic

**Lecithin**

stabilizer of the i.v. oil emulsions (for nutrition)  
it can build up the walls of the liposomes

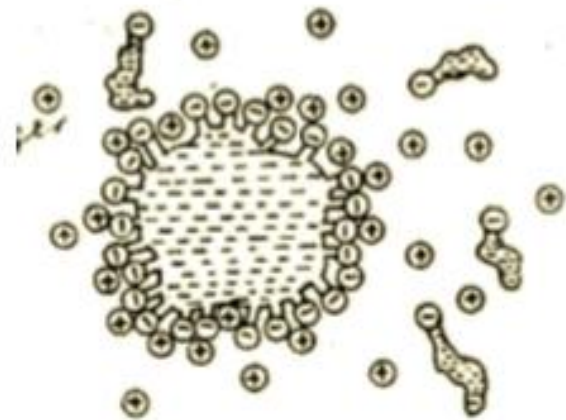
# HLB values of ointment's substances

<b>Ointment substance</b>	<b>Type of emulsion</b>	
	<b>o/w</b>	<b>w/o</b>
<b>Cethyl alcohol</b>	<b>15</b>	<b>-</b>
<b>Stearyl alcohol</b>	<b>14</b>	<b>-</b>
<b>Stearic acid</b>	<b>15</b>	<b>-</b>
<b>Lanoline</b>	<b>10</b>	<b>8</b>
<b>Cottonseed Oil</b>	<b>10</b>	<b>5</b>
<b>Beeswax</b>	<b>12</b>	<b>4</b>

# Micellar Structure and Shape

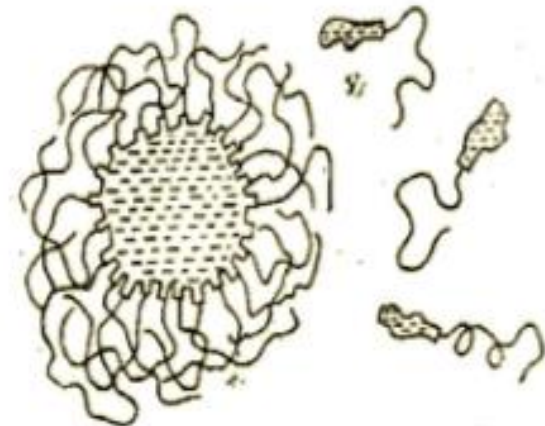
## (a) Ionics

- ✓ inner core – liquid phase hydrocarbon
- ✓ Shell
- ✓ diffuse electric double layer



## (b) Nonionics

- ✓ inner core – liquid phase hydrocarbon
- ✓ Shell





# Pharmaceutical requirements of a proper surfactant

- promotes and helps to maintain the emulsification
- compatible
- stabile
- non-toxic
- compliant taste, smell and color

# Required HLB values

Oil	O/W emulsion	W/O emulsion
Stearic acid	15	6
Cetyl alcohol	15	-----
Stearyl alcohol	14	-----
Lanolin, anhydrous	12	8
Mineral oil, light	12	4
Liquid paraffin	10.5	4
Castor oil	14	-----
Beeswax	9	5
Petrolatum	7-8	4
Wool fat	10	8

# Calculation of the required HLB for a mixture of oils, fats or waxes

1. Multiply the required HLB of each ingredient by its fraction from the total **oily** phase.
2. Add the obtained values to get the total required HLB for the whole oily phase.

## Example:

- Liquid paraffin 35%
- Wool fat 1%
- Cetyl alcohol 1%
- Emulsifier system 7%
- Water to 100%

## Solution

The total percentage of the oily phase is **37%** and the proportion of each is:

Liquid paraffin  $35/37 \times 100 = 94.6\%$

Wool fat  $1/37 \times 100 = 2.7\%$

Cetyl alcohol  $1/37 \times 100 = 2.7\%$

**The total required HLB number is obtained as follows:**

Liquid paraffin (HLB 10.5)  $94.6/100 \times 10.5 = 9.93$

Wool fat (HLB 10)  $2.7/100 \times 10 = 0.3$

Cetyl alcohol (HLB 15)  $2.7/100 \times 15 = 0.4$

**Total required HLB = 10.63**

**Thank you for your attention!**